



Sector  
#13

PATENT  
Atty. Ref. No. 310030-234

I certify that on February 5, 1998, which is the date I am signing this certificate, this correspondence and all listed attachments are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Grace B. Barit  
Grace B. Barit

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit No.: 3202

Applicants: Richard J. Richardson

Serial No.: 08/919,947

Filing Date: August 29, 1997

Title: LIGHTING CIRCUIT, LIGHTING  
SYSTEM METHOD AND APPARATUS,  
SOCKET ASSEMBLY, LAMP  
INSULATOR ASSEMBLY AND  
COMPONENTS THEREOF

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231  
Attention: Box Missing Parts

### RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice To File Missing Parts, dated January 13, 1998, a copy of

which is attached, transmitted herewith for filing are the Applicant's executed Power of

Attorney and Declaration and Petition.

02/27/1998 HPPEOPLES 00000012 08/919947  
01 FC:105

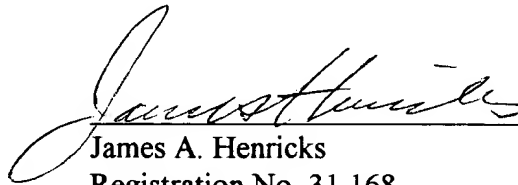
130.00 02

Also enclosed is our Check No. 13233 in the amount of \$130.00 to cover the missing parts surcharge required to complete the filing of this application.

A return post card is enclosed. If any additional fees are due, please charge them to our Deposit Account No. 16-2230. This transmittal letter is provided in triplicate for accounting purposes.

Respectfully submitted,

Dated: February 5, 1998



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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/919,947	08/29/97	RICHARD SUN	210090-204

JAMES A HENRICKS  
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6242/0117

NOT ASSIGNED

DATE MAILED: 01/14/98

Anthony's

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.
  - ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

FORM PTO-1533 (REV.7-96)

PART 2 - COPY TO BE RETURNED WITH RESPONSE

\*U.S. GPO: 1996-404-496/40515

**BEST AVAILABLE COPY**